

Privacy Notice

RXi Wealth Limited is authorised and regulated by the Financial Conduct Authority. This privacy notice explains how we use any personal information we collect about you.

What information do we collect about you?

We collect information about you from your financial adviser when you engage us for discretionary investment management services. This information will relate to your personal and financial circumstances. It may also include special categories of personal data such as data about your health, if this is necessary for the provision of our services.

Why do we need to collect and use your personal data?

The primary legal basis that we intend to use for the processing of your data is for the performance of our contract with you. The information that we collect about you is essential for us to be able to carry out the services that you require from us effectively. Without collecting your personal data we'd also be unable to fulfil our legal and regulatory obligations.

Where special category data is required we'll obtain your explicit consent in order to collect and process this information.

How will we use the information about you?

We collect information about you in order to provide you with the services for which you engage us.

Telephone Calls

We may record, monitor, store and use any telephone communications with/from you in order to check any instructions given to us, to meet regulatory requirements, and for training purposes to improve the quality of our service.

Where is personal data stored?

All data is stored in the UK and EEA.

Who might we share your information with?

We **won't** share your information for marketing purposes with other companies.

In order to deliver our services to you effectively we may send your details to third parties such as those that we engage for professional compliance, IT, accountancy or legal services as well as your financial adviser and platform providers that we use to provide custodian and administrative services for your portfolio.

Where third parties are involved in processing your data we'll have a contract in place with them to ensure that the nature and purpose of the processing is clear, that they are subject to a duty of confidence in processing your data and that they'll only act in accordance with our written instructions.

Where it's necessary for your personal data to be forwarded to a third party we'll use appropriate security measures to protect your personal data in transit, such as password protection.

To fulfil our obligations in respect of prevention of money-laundering and other financial crime we may send your details to third party agencies for identity verification purposes.

How long do we keep hold of your information?

In principle, your personal data shouldn't be held for longer than is required under the terms of our contract for services with you. However, we're subject to regulatory requirements to retain data for specified minimum periods. We also reserve the right to retain data for longer than this due to the possibility that it may be required to defend a future claim against us.

You have the right to request deletion of your personal data. We'll comply with this request, subject to the restrictions of our regulatory obligations and legitimate interests as noted above.

How can I access the information you hold about me?

You have the right to request a copy of the information that we hold about you. If you'd like a copy of some or all of your personal information please email or write to us using the contact details noted below.

When your personal data is processed by automated means you have the right to ask us to move your personal data to another organisation for their use.

We have an obligation to ensure that your personal information is accurate and up to date. Please ask us to correct or remove any information that you think is incorrect.

Marketing

We will **not** use your personal data for marketing purposes.

Cookies

A cookie is a small text file written to your hard drive that contains information about you. Cookies do not contain any personal information about users.

We do **not** collect statistics about the way you use our website in the form of cookies.

What can you do if you are unhappy with how your personal data is processed?

You also have a right to lodge a complaint with the supervisory authority for data protection. In the UK this is:

Information Commissioner's Office
Wycliffe House
Water Lane
Wilmslow
Cheshire
SK9 5AF

0303 123 1113 (local rate)

Changes to our privacy notice

We keep our privacy policy under regular review and we'll place any updates on this web page (www.rxiwealth.com) This privacy notice was last updated on 22nd March 2021.

How to contact us

Please contact us if you have any questions about our privacy notice or information we hold about you: by email at jonathon@rxiwealth.com

Or write to us at RXi Wealth Ltd, No.1 Royal Exchange, London, EC3V 3DG